SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL
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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person * Shah Shamik	2. Date of Event Requiring Statement (Month/Day/Year) 05/12/2015	3. Issuer Name and Ticker or Trading Symbol CEMTREX INC [CETX]				
(Last) (First) (Middle)	=	4. Relationship of Reporting Person(s) to Issuer (Check all applicable)		5. If Amendment, Date of Original Filed (Month/Day/Year)		
19 ENGINEERS LANE		X Director Officer (give title	10% Owner Other (specify		6. Individual or Joint/Group Filing (Check Applicable Line)	
(Street) FARMINGDALE NY 11730 (City) (State) (Zip)		below)	below)			y One Reporting Person y More than One Reporting
Table I - Non-Derivative Securities Beneficially Owned						
1. Title of Security (Instr. 4)		2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership4. NatuForm: Direct (D) or5)Indirect (I) (Instr. 5)		ture of Indirect Beneficial Ownership (Instr.	
Common Stock		5,417	D			
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)						
1. Title of Derivative Security (Instr. 4)	2. Date Exercisable an Expiration Date (Month/Day/Year)	d 3. Title and Amount of Securities Derivative Security (Instr. 4)	s Underlying	4. Conversion or Exercise	rcise (D) or f Indirect (I) ive (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
Explanation of Responses:	Date Expiration Exercisable Date	n Title	Amount or Number of Shares	Price of Derivative Security		

/s/ Shamik Shah ** Signature of Reporting Person <u>03/07/2017</u> Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.